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## FAX FILING IN U.S. PATENT & TRADEMARK OFFICE

DATE: 8-9-06

TIME: \_\_\_\_\_

TO:	Mail Stop AF	FAX NO.:	571-273-8300
FROM:	Kenneth N. Nigon	ADMIN. ASST.:	Patricia C. Boccella
APPLN. NO.:	09/997,391	ATTY DOCKET NO.:	MATP-617US
TITLE OF APPLN.: METHOD AND APPARATUS FOR AUDIO NAVIGATION OF AN INFORMATION APPLIANCE			
FILING DATE:	November 30, 2001	ART UNIT:	2626
FIRST INVENTOR:	Saiprasad V. Naimpally	CONF. NO.:	5564
TITLE OF DOCUMENT (and List of Attachments): Transmittal; Fee Transmittal (in dup.); Amendment; PTO-2038;			

Total Number of Pages: 24 (including this form)

### COMMENTS

### CONFIDENTIAL AND PRIVILEGED ATTORNEY/CLIENT INFORMATION

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PTO/SB/17 (12-04v2) (AW 1/2005)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/04.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL  
For FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 400.00**Complete if Known**

Application Number	09/997,391
Filing Date	November 30, 2001
First Named Inventor	Saiprasad V. Naipally
Examiner Name	James S. Wozniak
Art Unit	2626
Attorney Docket No.	MATP-617US

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	—
Design	200	100	100	50	130	65	—
Plant	200	100	300	150	160	80	—
Reissue	300	150	500	250	600	300	—
Provisional	200	100	0	0	0	0	—

**2. EXCESS CLAIM FEES**

Fee Description	Small Entity	
	Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
22	- 22 or HP =	0	x			
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
5	- 3 or HP =	2	x	200		400
HP = highest number of independent claims paid for, if greater than 3						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
—	- 100 =	— / 50 =	— (round up to a whole number) x	—

**4. OTHER FEE(S)**


Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

**Fees Paid (\$)**

SUBMITTED BY

**Complete (if applicable)**

Signature		Registration No. Attorney/Agent	31,549	Telephone	610-407-0700
Name (Print/Type)	Kenneth N. Nilan	Date	August 9, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Appln. No.: 09/997,391  
Amendment Dated August 8, 2006  
Reply to Office Action of May 11, 2006

MATP-617US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 09 2006

Appln. No: 09/997,391  
Applicant: Saiprasad V. Nairpally et al.  
Filed: November 30, 2001  
Title: METHOD AND APPARATUS FOR AUDIO NAVIGATION OF AN INFORMATION  
APPLIANCE  
TC/A.U.: 2626  
Examiner: James S. Wozniak  
Confirmation No.: 5564  
Docket No.: MATP-617US

**AMENDMENT UNDER 37 C.F.R. § 1.116****Expedited Procedure****Mail Stop AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Responsive to the Office Action dated May 11, 2006, and pursuant to 37 C.F.R. §1.116,  
please amend the above-identified application as follows:

- ☐ **Amendments to the Specification** begin on page \_\_\_\_\_ of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of claims which begins on page **2** of this paper.
- ☐ **Amendments to the Drawings** begin on page \_\_\_\_\_ of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page \_\_\_\_\_ of this paper. A clean version of the Abstract is on page \_\_\_\_\_ of this paper.
- ☒ **Remarks/Arguments** begin on page **11** of this paper.

08/10/2006 MBINAS 00000004 09997391

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